



Alabama Security Regulatory Board
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MINUTES
Board Meeting
May 9, 2024

The Alabama Security Regulatory Board met on Thursday, May 9, 2024, at its office located at 2777 Zelda Road, Montgomery, Alabama, to conduct business. The following Board members were in attendance: Mr. Harold Stamps (Board Chair), Ms. Debra Davis (Vice Chair), and Mr. Stephen McGavin (member). Board members absent were Sheriff Heath Jackson (member) and Mr. Jacob Pugh (member). Others attending were Mr. Randy Barrows (Board Administrator), Ms. Neva Conway (Legal Counsel), Mr. Denny Merritt (Board Investigator), Mr. Dennis Trammell (Investigator), Ms. Karen Harlow (Legal Assistant), Ms. Angela Warr (Executive Assistant), and Ms. Renee' Reames (recording secretary).

The regularly scheduled Board meeting was advertised on the Board's website, www.asrb.alabama.gov, and the Secretary of State's website, www.sos.alabama.gov, in accordance with requirements of the Alabama Open Meetings Act.

CALL TO ORDER

Ms. Warr called the Board member roll and indicated that a quorum of the members was present to conduct business. Mr. Stamps, Board Chair, called the meeting to order at 1:33 p.m. and welcomed those in attendance. The actions of the Board were voted on by the three (3) members present on site.

APPROVAL OF AGENDA

Chairman Stamps presented, for the Board's approval, a copy of the meeting agenda for the May 9, 2024, regular meeting of the Board. The agenda was provided to the Board members prior to the meeting for their review.

MOTION: Ms. Davis made the motion to approve the meeting agenda as presented. The motion was seconded by Mr. McGavin and unanimously approved by the members.

APPROVAL OF MINUTES

Chairman Stamps presented, for the Board's approval, a copy of the minutes from the February 8, 2024, regular meeting of the Board. The minutes were provided to the Board members prior to the meeting for their review.

MOTION: Ms. Davis made the motion to approve the February meeting minutes as presented. The motion was seconded by Mr. McGavin and unanimously approved by the Board.

EXECUTIVE DIRECTOR'S REPORT

Mr. Barrows presented the Executive Director's Report (report on file in the official Book of Minutes). He reported on the number of active licensees and details of the financial activities for the period ending March 31, 2024. A written report on administrative, financial and licensing activities performed since the last Board meeting held in February was also provided to the Board (copy of reports in official Book of Minutes).

Ms. Conway reported that 18 complaints had been received during FY 2024 and presented a status report on complaints received in FY 2023 and FY 2022. She also reported on disciplinary fines assessed regarding complaints for FY 2022 through FY 2024.

MOTION: Ms. Davis made a motion to accept the financial report as presented. The motion was seconded by Mr. McGavin and unanimously approved by the Board.

LEGAL COUNSEL REPORT

Ms. Conway reported that recommendations from an Administrative Law Judge (ALJ) on four appeal cases, regarding the denial of their license, had been received. Chairman Stamps presented the written ALJ Recommended Order on Findings of Fact and Conclusion of Law concerning the four cases: SRB 2023-13D, SRB 2024-001D, SRB 2024-002D, and SRB 2024-003D.

MOTION: Ms. Davis made the motion to uphold the denial of license as recommended by the ALJ in case 2023-013D. The motion was seconded by Mr. McGavin and unanimously approved by the Board.

MOTION: Ms. Davis made the motion to uphold the denial of license as recommended by the ALJ in case 2024-001D. The motion was seconded by Mr. McGavin and unanimously approved by the Board.

MOTION: Ms. Davis made the motion to uphold the denial of license as recommended by the ALJ in case 2024-002D. The motion was seconded by Mr. McGavin and unanimously approved by the Board.

MOTION: Ms. Davis made the motion to uphold the denial of license as recommended by the ALJ in case 2024-003D. The motion was seconded by Mr. McGavin and unanimously approved by the Board.

Ms. Conway reported that the Investigative Committee (IC) had met in advance of the Board meeting to review complaint cases and presented the following recommendations from the Committee. She reported that Chairman Stamps served on the Committee and was recused from voting on these matters.

- Cases 2024-010 and 2024-016: IC recommended to the close cases as no jurisdiction.
- Cases 2023-016, 2024-001, 2024-011, and 2024-012: IC recommended to the close cases as unfounded.
- Case 2024-008: Violations were founded, and the IC recommended the respondent be fined \$3000 for violations and refusing to cooperate with investigation, placed on one year probation and authorize the Board's legal counsel and executive director to

negotiate settlement on behalf of the Board and, if unsuccessful in negotiations, set the matter for a hearing.

- Case 2024-009BR: Violations were founded, and the IC recommended, in the matter of an unlicensed contract-security company, that the owner of the company be fined \$5,000 for violations, placed on one year probation and authorize the Board's legal counsel and executive director to negotiate settlement on behalf of the Board and, if unsuccessful in negotiations, set the matter for a hearing.
- Case 2024-015BR: Violations were founded, and IC recommended, in the matter of an unlicensed contract-security company, that the owner of the company be fined \$7,500, authorize the Board's legal counsel and executive director to negotiate settlement on behalf of the Board and reinspection of the company 90 days after consent is signed and, if negotiations were unsuccessful, set the matter for a hearing.

MOTION: Ms. Davis made the motion to approve the recommendations of the Investigative Committee (IC) as presented. The motion was seconded by Mr. McGavin and unanimously approved by the Board, with Chairman Stamps recused from voting as a member of the Committee.

OLD BUSINESS

Chairman Stamps called for any old business and there was none to report.

NEW BUSINESS

Request for Dual Licensing as Qualifying Agent – Mr. Barrows reported that Roger E. Triplett, current Qualifying Agent for Chenega Infinity, LLC, a subsidiary of Chenega Corporation, had requested to be licensed as Qualifying Agent for Chenega Naswik International LLC, also a subsidiary of Chenega Corporation. The licensee currently serves as Regional Program Manager for Chenega Naswik International.

MOTION: Mr. McGavin made the motion to approve dual licensure of Mr. Triplett as Qualifying Agent for Chenega Naswik International, as requested. The motion was seconded by Ms. Davis and unanimously approved by the Board.

Annual Renewal of Administrative Services Contract – Mr. Warren presented for the Board's approval the annual renewal of the Administrative Services Contract with Smith Warren Management Services that was scheduled to expire September 30, 2024. Ms. Conway commented on the review and approval process of contracts by the Contract Review Committee.

MOTION: Ms. Davis made a motion to approve the renewal of the Administrative Services Contract on an annual basis under the terms of the contract. The motion was seconded by Mr. McGavin and unanimously approved by the Board.

Legal Services Contract – Ms. Conway presented the inter-agency contract for her legal services. The terms of the proposed agreement was for two years which would expire April 14, 2026.

MOTION: Ms. Davis made the motion to continue to compensate the Alabama Real Estate Appraiser's Board for one third of Ms. Conway's salary for the high quality of legal services provided by Ms. Conway to the Board. The motion was seconded by Mr. McGavin and unanimously approved by the Board.

ADJOURNMENT:

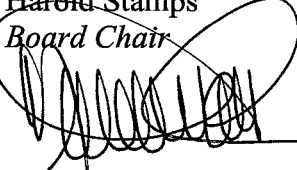
Chairman Stamps called for other business, and there being none, he called for a motion to adjourn.

MOTION: Mr. McGavin made a motion to adjourn the meeting. The motion was seconded by Ms. Davis and unanimously approved by the Board. Chairman Stamps adjourned the meeting at 1:56 p.m.

Respectfully submitted,



Harold Stamps
Board Chair



Keith E. Warren
Executive Director

Approved on August 8, 2024 /rr 