



Alabama Security Regulatory Board
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MINUTES
Board Meeting
Thursday, February 20, 2014

A meeting of the Alabama Security Regulatory Board was called to order at 1:30 p.m. on Thursday, February 20, 2014, at its office located at 2777 Zelda Road, Montgomery, Alabama, in order to conduct business. The following Board members were in attendance: Mr. Burke Swearingen (Chair), Ms. Carol Dodgen (Vice Chair), Mr. Sid Lockhart and Ms. Stephanie Ortel. Board member absent was Mr. Kevin Murphy. Also in attendance were Mr. Keith E. Warren (Executive Director), Mr. Cameron McEwen (Board Counsel), Mr. Randy Barrows (Administrator), and Ms. Renee' Reames (Executive Secretary to Mr. Warren). A quorum was present.

Public Notice of this regularly scheduled meeting was submitted to the Secretary of State's web site at www.sos.alabama.gov in accordance with the requirements of the Alabama Open Meetings Act, and advertised on the Board's web site, www.asrb.alabama.gov.

The minutes from November 21, 2013 Board meeting were presented for the Board's approval. A copy of the minutes was provided to the Board members prior to the meeting. Mr. Lockhart made a motion to dispense with the reading of the minutes and to approve the minutes as presented. The motion was seconded by Ms. Dodgen and unanimously approved by the Board.

Mr. Warren presented the Executive Director's Report (report filed in the official Book of Minutes), which included revenue and expense totals and fund balance as of January 31, 2014. Also reported was the total number of active licensees, certified trainers and companies, along with the total number of consumer complaints received.

Mr. Warren reported that a representative from the Alabama Examiners of Public Accounts was currently conducting the legal compliance and Sunset audits of the Board's activities.

Mr. Warren reported on activities regarding HB 476. He indicated this this Bill was carried over in Committee and may not pass this legislative session.

Mr. McEwen indicated that there was no additional information to present for the Legal Report.

Mr. Warren presented the proposed Rules and Regulations, Chapter 832-X-1 Administrative and Procedures and Appendix Application Forms, for the Board's final approval. He indicated that no comments had been received from the public. Mr. Swearingen called for comments from the guest attending the meetings and there were none regarding these

proposed Rules. Ms. Dodgen made a motion to adopt as final Rules as presented, with no additional changes. The motion was seconded by Ms. Ortel and unanimously approved by the Board.

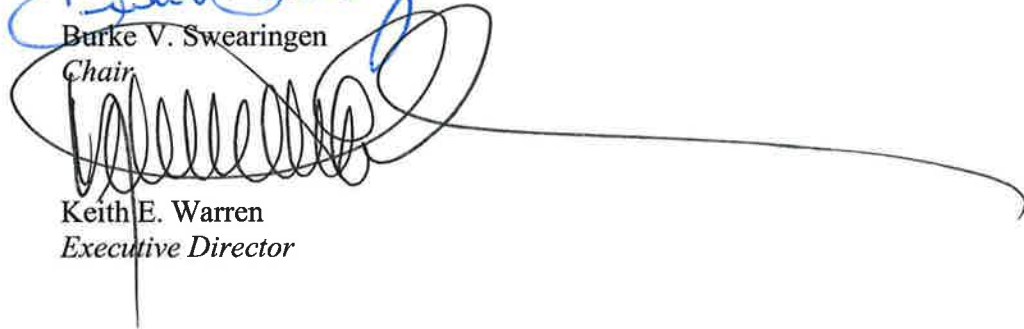
The next regularly scheduled meeting of the Board is scheduled May 22, 2014.

There was no further business and Mr. Lockhart made a motion to adjourn the meeting. The motion was seconded by Ms. Dodgen and Mr. Swearingen adjourned the meeting at 1:37 p.m.

Respectfully Submitted,



Burke V. Swearingen
Chair



Keith E. Warren
Executive Director